

IRA Transfer Form

FAIRHOLME

If you are establishing a new IRA with The Fairholme Fund, The Fairholme Focused Income Fund or The Fairholme Allocation Fund, an IRA Account Application must accompany this form. To request an IRA Account Application call [Shareholder Services at 1-866-202-2263](tel:1-866-202-2263) or download it from www.fairholmefunds.com.

Use this form to request an IRA transfer of assets or a direct rollover (excluding qualified rollover conversions to a Roth IRA) from an existing retirement plan account to your IRA at Fairholme Funds, Inc. Based on your instructions, PFPC Trust Company will initiate the transfer or rollover for you. If you are over age 70½, you are responsible for distributing any required minimum distribution amounts from your current retirement plan account (excluding Roth IRAs) in advance of the transfer or rollover. Please remember that a transfer of assets can only occur between the same types of retirement plans.

Send fully completed form via regular mail to:

Fairholme Funds, Inc.

P.O. Box 9692
Providence, RI 02940-9692

If you need assistance completing this form, please contact [Shareholder Services at 1-866-202-2263](tel:1-866-202-2263). Incomplete information will result in delays in processing your request.

Fairholme Funds, Inc. requires that an email address must be provided. Application may be rejected if one is not provided below.

COMPLETE ALL SECTIONS AND ATTACH A COPY OF YOUR EXISTING IRA STATEMENT

Investor Information

Name (First, Middle, Last)	Social Security #	Date of Birth (M-D-YY)	
Mailing Address	City / State or Province	Zip or Postal Code	Country
Daytime Telephone #	Evening Telephone #	E-mail Address REQUIRED TO PROCESS	

Current Custodian & Account Information

Type of account you are transferring/rolling over from (check one):

- | | |
|---|--|
| <input type="checkbox"/> Traditional IRA/Rollover IRA | <input type="checkbox"/> 403(b) |
| <input type="checkbox"/> SEP-IRA | <input type="checkbox"/> 457 Plan |
| <input type="checkbox"/> Roth IRA | <input type="checkbox"/> Qualified Plan* |

**If you are rolling over a qualified plan, please contact your current plan administrator for distribution/rollover form requirements.*

Please attach your most recent statement, if possible. *Note, your current custodian may require a Medallion Signature Guarantee to process your transfer or rollover request. Please see the Participant Authorization section on the following page for an explanation of the Medallion Signature Guarantee.*

Name of Current Custodian			
Address	City	State	Zip Code
Contact Name		Phone	

① Investment to transfer: _____ Account # _____

- Liquidate Entire Account Partial Dollar Amount \$ _____ or # of Shares _____ Transfer In-Kind

For Certificate of Deposits: Immediately* At Maturity Date _____

② Investment to transfer: _____ Account # _____

- Liquidate Entire Account Partial Dollar Amount \$ _____ or # of Shares _____ Transfer In-Kind

For Certificate of Deposits: Immediately* At Maturity Date _____

**Note: If you wish to have certificates of deposit transferred immediately and they have not matured, you may incur a redemption penalty. We cannot accept requests to transfer assets from certificates of deposit more than 60 days before their maturity.*

Investment Instructions

Please complete the following sections:

- A. I am opening a new IRA and have attached the required IRA Application.
 Deposit the proceeds into my existing IRA. Account #: _____
\$1,000 is the minimum required for subsequent investments in The Fairholme Fund, The Fairholme Focused Income Fund or The Fairholme Allocation Fund
- B. Type of account transferring into:
 Traditional IRA Rollover IRA SEP IRA Roth IRA
- C. Invest as follows:
 The Fairholme Fund (FAIRX) *The minimum investment amount to open a new FAIRX account is \$10,000.*
 Dollar Amount \$ _____ or Percentage % _____
 The Fairholme Focused Income Fund (FOCIX) *The minimum investment amount to open a new FOCIX account is \$25,000.*
 Dollar Amount \$ _____ or Percentage % _____
 The Fairholme Allocation Fund (FAAFX) *The minimum investment amount to open a new FAAFX account is \$25,000.*
 Dollar Amount \$ _____ or Percentage % _____
- D. Type of Request:
 IRA Transfer of Assets (including Transfer-In-Kind)
 Direct Rollover from a Qualified Plan to an IRA
 Direct Rollover from a 403(b) or 457 to an IRA

Direct Rollover Notice

If this contribution is a direct rollover from a qualified plan, 403(b), or 457 plan, I understand that by signing this form, I am acknowledging that the direct rollover contribution is an irrevocable election and is no longer eligible for special tax treatment which may be accorded to distributions from a qualified plan, 403(b), or 457 plan. You may want to contact your current plan administrator or custodian to ensure that you have completed any documents they may require. If you are over age 70½, please contact your current custodian regarding the required minimum distribution rules before initiating a direct rollover. Direct rollovers from a qualified plan to an IRA can only be in the form of cash.

Participant Authorization

I authorize the transfer of assets or direct rollover as noted above to my FAIRHOLME FUNDS, INC. IRA and authorize my current custodian, FAIRHOLME FUNDS, INC. and PFPC Trust Company to process this request on my behalf. I understand it is my responsibility to insure the prompt transfer of assets or direct rollover by the current custodian. I have read and understand all information on this form and hereby provide the applicable authorization.

Participant's Signature _____ Date _____

Medallion Signature Guarantee Stamp and Signature (If required by your current custodian or transfer agent): An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

Medallion Signature Guarantee

First Class Mail:

Fairholme Funds, Inc.
 P.O. Box 9692
 Providence, RI 02940-9692

Courier/Overnight Mail:

Fairholme Funds, Inc.
 4400 Computer Drive
 Westborough, MA 01581-1722
 1-866-202-2263